

Little Rock Trade and Investment Ltd.

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Date: October 10, 2024

The Calcutta Stock Exchange Ltd

7- Lyons Range

Kolkata – 700001

Ref	CSE Scrip Code = 22055 & 10022055
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Sub: Submission of Shareholding Pattern under Regulation 31(1)(b) and Compliance Report on Corporate Governance under Regulation 27(2) of SEBI (Listing obligations & Disclosure Requirements) Regulations 2015 & Share Capital Audit Report under Regulation 76 of SEBI (Depositories & Participants) Regulations 2018 for Quarter and financial Year ended on September 30, 2024

Dear Sir,

Please find enclosed herewith -

- Shareholding Pattern for the Quarter ended on September 30, 2024, as per requirement of Regulation 31(1)(b) of SEBI (LOADR) Regulations 2015 (including any modification(s) or amendments thereof), read with other applicable provisions, if any, **(Annexure-I)**.
- Corporate Governance for the Quarter and Financial year ended on September 30, 2024, as per requirement of Regulation 27(2) of SEBI (LOADR) Regulations 2015, read with other applicable provisions if any **(Annexure-II&III)**.

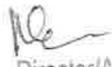
Please acknowledge the receipt

Thanking you

Yours faithfully

For Little Rock Trade & Investment Ltd.

Mohanan Thrayil
(Director)


Director/Authorized Signatory

Encl: as above.

Share Holding Pat as per Regulation 31(1) of the SEBI(Listing Obligations and Disclosure Requirements) Regulations 2015

1. Name of Listed Entity: **Little Rock Trade and Investment Ltd.**
2. Scrip Code/Name of Scrip/Class of Security: **22055/Equity**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) **Regulation 31(1)(b)**
 - a. If under 31(1)(b) then indicate the report for Quarter ending: **September 30, 2024**
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment: **NA**
4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	-	No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3 Whether the Listed Entity has any shares against which depository receipts are issued ?	-	No
4 Whether the Listed Entity has any shares in locked-in?	-	No
5 Whether any shares held by promoters are pledged or otherwise encumbered?	-	No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows :

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR,1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of (A+B+ C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y								
(A)	Promoter & Promoter Group	3	1135000	0	0	1135000	90.87	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(B)	Public	33	114000	0	0	114000	9.13	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total	36	1249000	0	0	1249000	100	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculate d as per SCRR,1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights		Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
								Class X	Class Y									Total
(1) Indian																		
(a) Individuals/ Hindu undivided Family		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b) Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c) Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d) Any Other (Body Corporate)		3	1135000	0	0	1135000	90.87	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Minority Commercial Pvt Ltd	AAGCM0307D		446900	0	0	446900	35.78	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

	Pashupatinath Commercial Pvt Ltd	AAECP3237D		235500	0	0	235500	18.85	0	0	0	0	0	0.00	0	0	0	0.00	0
	Trishul Commercial Pvt Ltd	AAJCT13894K		452600	0	0	452600	36.24	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	Sub-Total (A)(1)		3	1135000	0	0	1135000	90.87		0	0	0.00	0	0.00	0	0	0	0.00	0
(2)	Foreign																		
(a)	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(f)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		3	1135000	0	0	1135000	90.87	0	0	0	0.00	0	0.00	0	0	0	0.00	0

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (No applicable) (a)	As a % of total shares held (Not applicable) (b)		
									Class X	Class V									Total
(f)	Institutions																		
(a)	Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(f)	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(g)	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(i)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (B)(1)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(2)	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

	Sub-Total (B)(2)		0	0	0	0	0	0,00	0	0	0	0	0	0,00	0	0,00	0	0,00	0
(3)	Non-institutions																		
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs		30	25120	0	0	25120	0,56	0	0	0	0,00	0	0,00	0	0,00	0	0,00	0
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs		3	88880	0	0	88880	8,57	0	0	0	0,00	0	0,00	0	0,00	0	0,00	0
	Mitani Lal			22880															
	Suresh Kr Dahi			33000															
	Bimal Kr Singh			33000															
(b)	NBFCs registered with RBI		0	0	0	0	0	0,00	0	0	0	0,00	0	0,00	0	0,00	0	0,00	0
(c)	Employee Trusts		0	0	0	0	0	0,00	0	0	0	0,00	0	0,00	0	0,00	0	0,00	0
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0,00	0	0	0	0,00	0	0,00	0	0,00	0	0,00	0
(e)	Any Other (specify)		0	0	0	0	0	0	0	0	0	0,00	0	0,00	0	0,00	0	0,00	0
				0	0	0	0	0	0	0	0	0,00	0	0,00	0	0,00	0	0,00	0
	Sub-Total (B)(3)		33	114000	0	0	114000	9,13	0	0	0	0,00	0	0,00	0	0,00	0	0,00	0
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		33	114000	0	0	114000	9,13	0	0	0	0,00	0	0,00	0	0,00	0	0,00	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

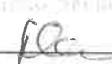
	Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
									Class X	Class Y	Total								
(1)	Custodian/DR Holder																		
(a)	Name of DR Holder (if available)		0	0	0	0	0	0,00	0	0	0	0,00	0	0,00	0	0,00	0	0,00	0
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0,00	0	0	0	0,00	0	0,00	0	0,00	0	0,00	0
	Total Non- Promoter- Non Public Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	0	0,00	0	0	0	0,00	0	0,00	0	0,00	0	0,00	0

Note

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

Name & Designation	For Little Rock Trade and Investment Ltd.	
	Little Rock Trade and Investment Limited	
Date: 10.10.2024	 Mohanan Thrayil (Director)	

Director/Authorized Signatory

Compliance Report on Corporate Governance under Regulation 27(2) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015

1. Name of Listed Entity: **Little Rock Trade and Investment Ltd.**
 2. Quarter ending: **September 30, 2024**

I. Composition of Board of Directors

Title (Mr. / Ms)	Name of the Director	PAN & DIN	Category (Chairperson/Executive/Non-Executive/independent/Nominee) ^a	Date of Appointment in the current term/cessation	Tenure	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1))
Mrs.	Uma Jain	PAN: AGUPJ0119M DIN: 08784837	Managing Director	11/02/2021	N/A	3	4	0
Mr.	Krishnan Swaminathan	PAN: ABDPS4948M DIN: 08784845	Independent Director	10/07/2020	47 months	3	4	4
Mr.	Mohanjan Thrayil	PAN: ADWPT4142P DIN: 08310232	Non-Executive Director	30/06/2020		2	1	0
Mr.	Shashi Kant Gupta	PAN: ACJPG4348N DIN: 02623242	Non-Executive	10.02.2022	N/A	1	3	0
Mr.	Rajender Soni	PAN: AASPS7995Q DIN: 08784845	Independent Director	10.02.2022	31.5 Months	1	3	0

II. Composition of Committees

Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee)
1. Audit Committee	1. Ms. Uma Jain 2. Mr. Rajender Sini 3. Mr. Krishnan Swaminathan (Chairman)	Executive Independent Independent
2. Nomination & Remuneration Committee	1. Ms. Uma Jain 2. Mr. Rajender Soni 3. Mr. Krishnan Swaminathan (Chairman)	Executive Independent Independent
3. Risk Management Committee (if applicable)	NA	
4. Stakeholders Relationship Committee	Not applicable	

III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
18.05.2024	12.08.2024	85 days

IV. Meeting of Committees

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
12.08.2024	Yes, all the members were present at the meeting	18.05.2024	85 days

V. Related Party Transactions

Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
- The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - Audit Committee
 - Nomination & remuneration committee
 - Stakeholders relationship committee
 - Risk management committee (applicable to the top 100 listed entities)
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

For Little Rock Trade & Investment Ltd

For Little Rock Trade and Investment Limited

Date: 10.10.2024
Place: Noida

Mohanan Thrayil
Director